

Assessment of the Sources for Disagreement Between Two Spectrophotometers

John Seymour

Keywords: inter-instrument agreement, spectrophotometer, calibration

Abstract

Agreement between different models of spectrophotometers is increasingly being recognized as an issue in the industry. Brand owners are requesting color tolerances that are in some cases too tight to be met when using different make and model spectrophotometers along the supply chain. This often means that printers are being required to purchase a different model of spectrophotometer for each print buyer that they supply. It is a particular problem when printers seek to improve accuracy (and efficiency) by incorporating spectrophotometers into the press. Such inline spectrophotometers are necessarily of a different design than hand-held devices.

This paper is part of ongoing work. The ultimate goal of this work is to develop a way to reliably standardize one instrument to another. The assumption is that understanding the physical nature of the differences between instruments will lead the way to a standardization process that provides the best performance without being prone to large errors.

In this paper, I look first at how well five spectrophotometers of different make and model agree on what “black” is, and then on how well they agree on what “white” is. Several differences have been determined, including rejection of specular light, calibration of absolute white, aperture size, and goniophotometry. With the exception of calibration of white light, the traditional models for standardizing one spectrophotometer to another do not account for these differences.

Thus, it is necessary to proceed with caution when attempting to standardize one instrument to another. Standardization may actually worsen the agreement between two instruments. At the very least, it is necessary to make sure that the physical properties of the standardization set (the set of samples used to correct one instrument’s readings to match another) are similar to the physical properties of the samples to be measured. In particular, translucency and gloss are important.

QuadTech, Inc.

Previous Work toward Improving Agreement

There is no dearth of papers that describe techniques whereby measurements of a set of samples can be used to characterize and correct for the disagreement between two instruments. I will use the word “standardize” to refer to this process. See, for example, Robertson (1986), Berns et al. (1997), Rich (2004), Van Aken et al. (2000, and 2006), Chung et al. (2004), and Nussbaum et al. (2011).

There is also no dearth of commercially available software for performing this standardization. Software is available from X-Rite (two versions), DataColor, CyberChrome, Color Science Consultancy, HunterLab, and ColorMetrix. See Bibliography section for links to these companies.

On the other hand, there were three studies that gave cautionary advice. Butts et al. (2006) tested two commercially available programs and came to the following conclusion:

Both profiling programs [Maestro and NetProfiler] were able to improve the inter-instrument agreement on BCRA tiles, but with consistency only for their own instruments... Improvements in BCRA agreement did not produce similar improvements in textile agreement... A significant number of textile samples were adjusted in the wrong direction and are in much worse agreement after profiling.

These results were not unexpected. Rich (2004) had this to say: “If the model is built with only glossy tiles, such as the BCRA ceramic tiles, then matte materials, like textiles, are poorly modeled.” Rich recommended a collection of glossy semi-gloss, and matte, chromatic and achromatic (near neutral) samples for the characterization.

Seymour (2013) came to similar conclusions when analyzing BCRA tiles to determine the characterization:

[S]tandardization with a seemingly reasonable set of samples and a seemingly reasonable underlying mathematical model can be a worthless endeavor, and can often significantly worsen intra-model agreement... it was found that the BCRA series II set of tiles is lacking in that it does not provide for reliable differentiation between errors in nonlinearity and wavelength shift... This suggests that the addition of a few well chosen tiles to the BCRA set may improve their ability to standardize one instrument to another.

What Can Go Wrong?

The list of potential reasons for two instruments to disagree is rather daunting [Spooner, 1991].

Repeatability – There is an inherent variation from one measurement to another even in the same instrument. This may be in the instrument itself, or it may be because of positioning on a non-uniform sample.

Black level – Pure black should measure as 0% reflectance. Does it?

Rejection of scattered light – An instrument is potentially sensitive to ambient light. It may differ from another in the degree that it rejects specular reflections or light reflected from outside of the true sample area.

White level – Spectrophotometers need to have a white calibration to convert their internal measurements into standard reflectance values. This calibration relies on measuring a white calibration reference that has official reflectance values.

Measurement geometry – The measurement of most samples depends on the angle that the light hits it as well as the angle the light is measured. A small difference in the angular distributions of illumination and detection may affect measurements.

Nonlinearity – The detector and associated electronics have some inherent nonlinearity. That is to say, twice the amount of light may not yield a measurement twice as large. This is not likely the case with today's technology, but many of the other problems mentioned in this list may present themselves as issues with nonlinearity.

Aperture size – There is a subtler problem when measuring objects that are translucent. Incident light will spread laterally through the sample. The amount of this light that is measured will depend on the size of the area that is illuminated and the size of the area that is measured. There are actually two physical areas, and the relationship between them can enhance or detract from the ability of an instrument to agree with other instruments. For convenience sake, I will set that discussion aside and lump all this into the category of “aperture size”.

Wavelength alignment – A spectrophotometer is calibrated in the factory to assign wavelengths to each spectral band of the instrument. Two instruments could disagree on this assignment.

Bandwidth difference – Each channel of a spectrophotometer accepts a range of wavelengths in each of its bins. The fact that there is a difference is obvious when an instrument with a 10 nm spectral resolution is compared against an instrument with a 20 nm resolution, but there are still potential differences between two 10 nm instruments.

Fluorescence – If a sample fluoresces, the measurement of a color depends a great deal on the spectral curve of the illumination. This issue has been addressed with the introduction of the M1 condition in ISO 13655, but even so, there is potential for disagreement due to implementation differences.

The mathematical models described in the literature recognize some subset of these causes for disagreement, and seek to quantify them. The approach of all of these methods is to lump all the measurements together, and let regression tease out the discrepancies in instrument design or calibration that cause the disagreement.

As shown in the paper by Seymour (2013), one difficulty with this approach is that the instrumental discrepancies are often confounded. Misattribution of cause can lead to an increase in any disagreements. This is particularly true when the samples to be measured differ from the samples used to standardize.

General Outline of the Experiments

The approach in this experiment is to use samples chosen to isolate the individual causes for discrepancies between spectrophotometers as much as possible. These sets of samples were measured by all instruments in the test group. Inter-comparison of the measurements was performed to determine the sources of color measurement discrepancies.

Five spectrophotometers were used for this experiment. All spectrophotometers were 0/45 or 45/0, handheld devices. They were selected based on two criteria. First, they cover (presumably) a wide range of designs. Second, they were convenient for me to borrow for this test! I regret that instruments from additional manufacturers were not available.

Note that measurements were made in May of 2013, so some of the devices were under manufacturer’s certification and others were not. This was intentional. Despite all exhortations to routinely calibrate instruments, the fact remains that a large number of instruments in the field are not up to date.

Manufacturer	Model	Serial #	Date of manufacture	Last certification
X-Rite	eXact	001120	Jan 2013	Jan 2013
X-Rite	939	02135	Oct 2010	Oct 2010
X-Rite	530	001390	?	Feb 2013
Gretag-MacBeth	Spectrolino	3.257-14124	June 2009	June 2009
X-Rite	SpectroEye	3.264-27942	?	Jan 2013

Table 1: The spectrophotometers used in these experiments.

Black level experiment

Theory

The purpose of the black level experiment is to assess the degree to which the instruments agree on what “black” is. Five samples were selected which should, in theory, all be very close to zero reflectance. Differences between the instruments in the measurements point to specific differences between the instruments.

Ugg light trap – I have constructed a light trap from a boot box. The cardboard box is roughly 5” X 12” X 15”, with a light tight cover, painted flat black on the inside and top. The top has a piece of wood about 1/8” thick glued to the cardboard cover. This top has a 5 mm aperture which is chamfered from below. If the illumination does not hit the edge of the hole, the light reflected back at 0/45 will be extremely small. Two instruments may differ in the measurement of the Ugg light trap if either a) the Ugg aperture is not large enough, or b) the instrument was not properly zeroed.

Ceram black glass reference – Lucideon (formerly Ceram, and previous to that, BCRA) provided me with a black reference made of highly polished black glass. Since the surface is glass, there is an obvious specular reflection on the order of 5%. For a spectrophotometer with illumination at 0°, this specular reflection should be sent back directly to the illuminator, so the glass should be very dark for a 0/45 instrument. The same reasoning applies to illumination at 45°.

The 45/0 measurements of this black glass are at the noise floor according to Lucideon’s measurements, so any instrument should read very close to zero. If an instrument does not read zero for the Lucideon target, but does read zero for the Ugg light trap, then the instrument has inadequate specular light rejection, which may be an issue with dirty optics or inadequate attention to scattered light in the design. Light which reflects specularly from a flat surface should be blocked from reaching the detector, but could still manage to make its way to the detector.

Interstyle Ceramic black tile – I obtained a black tile from Interstyle Ceramics which is of a different design than the black glass reference from Lucideon. This tile is clear glass with a thickness of 5 mm, and with a rich black on the bottom. If the tile is viewed with a focused light, the black back (as viewed through the clear glass) is a dark gray with a matte appearance. The illuminated area will be displaced by roughly 7 mm from the measurement plane when the light comes in at 45°, so the measurements on this tile will depend on the illumination and measurement aperture.

First surface mirror – A mirror would not generally be thought of as a black object, but a first surface mirror should have zero reflectance when measured with a 45/0 or 0/45 spectrophotometer. (A first surface mirror has its shiny part on the top so that

the reflection is not seen through the glass. If it is a truly good mirror, then all the light coming in at 45° should reflect at 45° and be ignored by the instrument. This is a more extreme version of the specular light rejection test, since the mirror will reflect perhaps 90% of the incident light at the specular angle.

X-Rite 939 light trap – The XRite 939 comes with its own light trap for calibration. While this should in theory give identical results to the Ugg trap, inter-comparison of the measurements of the two traps will provide a useful cross-check of the effectiveness of the two light traps.

The first four samples were measured with all the instruments, and the fifth one (the X-Rite light trap) measured where possible. Ten replicate measurements were made with each sample and each instrument.

In theory, at least, all the instruments should agree, within the limits of instrument repeatability, on the measurement of all the black samples.

Results

Summary of Results

The numbers in each cell of the table below represent the average reflectance over all wavelengths and over ten replicated measurements.

	Instrument 1	Instrument 2	Instrument 3	Instrument 4	Instrument 5
Ugg light trap	0.007%	0.000%	0.008%	0.031%	0.165% (0.089%)
X-Rite 939 light trap	0.010%	0.006%	0.024%	0.026%	0.065%
Ceram black reference	0.011%	0.011%	0.024%	0.036%	0.104%
Interstyle black tile	0.016%	0.330%	0.248%	0.279%	0.541%
First surface mirror	0.045%	0.097%	0.100%	0.178%	0.645%

Table 2: Average reflectance of the samples as measured by all instruments

Note that I have intentionally not identified the instruments since the intent of this paper is not to rate specific models, but rather to try to understand differences between commonly found instruments. The order of the instruments is not the same between Table 1 and Table 2.

I consider the first three black samples (the two light traps and the Ceram reference) to be the most important. The other two (Interstyle tile and first surface mirror) are diagnostic.

The numbers in the table are color coded based on magnitude. The decision of how to color the numbers is based on asking a simple question: “If this much reflectance were to be added to a patch with a density of 2.0D, then how much would the density be lowered?” The numbers in the table are green if the amount of drop is less than 0.01D. This is absolutely acceptable. The yellow numbers would cause a drop of 0.01D to 0.02D. This is also acceptable, in my opinion. The orange numbers represent a density drop to somewhere between 0.02D and 0.05D. I consider this borderline acceptable. The numbers in red represent a density drop of more than 0.05D. I consider this unacceptable.

Results by Instrument

Instrument 1

The black measurements for the first instrument are good for all samples. The first surface mirror has a somewhat larger number, suggesting that the instrument does have a “small issue” with scattered light. On the other hand, the first surface mirror test is moderately large. But, the first surface mirror has roughly 15 times as much specular reflection as any ink, so I see no need to correct this instrument for black level.

Instrument 2

The numbers on the three critical samples are all very good, so there clearly are no issues with black level calibration. The first surface mirror number is a bit high: 0.097% corresponds to a 2.00D patch being read as 1.96D. But, as stated for Instrument 1, this is a pretty severe test.

The number for the Interstyle tile is quite large. The likely source of this difference is that Instrument 2 has a larger aperture.

The Interstyle tile is clear glass with a black backing. The backing is a matte black, so there is a small amount of light reflecting at all angles from the area that is illuminated. My guess is that the first instrument has a fairly small aperture compared with the thickness of the clear glass. The drawing in Figure 1 shows illumination that reaches the black backing quite far afield from the area of detection. Even though the light reflected from the black backing heads out in all directions, very little of it is accepted by the detector, since it is outside of the cone of acceptance of the detector.

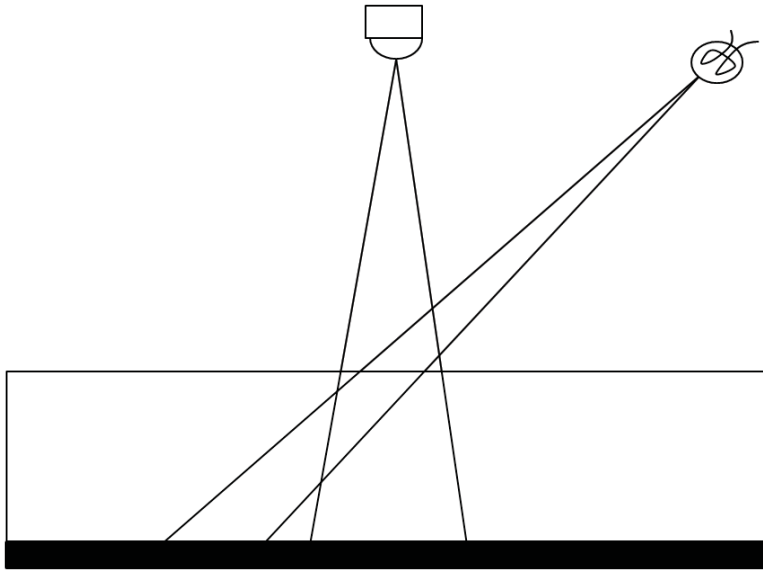


Figure 1: Instrument with a small measurement aperture cannot see the illuminated area

Figure 2 shows my guess as to what the aperture of the second instrument looks like on the tile. The illumination and detection angles are such that there is some overlap of the area of illumination of the bottom of the tile and the area of detection. Thus, a small amount of light is measured, despite the fact that the black area reflects only perhaps a few percent of the incident light.

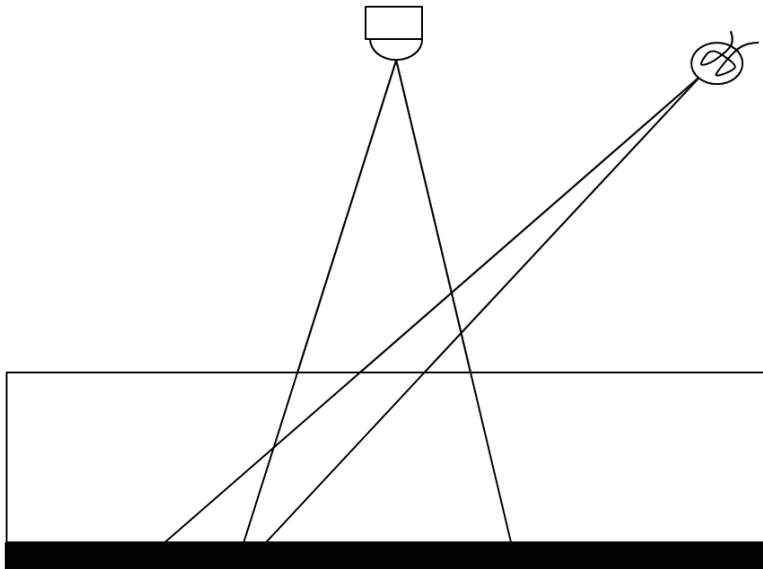


Figure 2: Instrument with a larger aperture sees part of the illuminated area

Note: The drawings show a 45/0 geometry. The same basic arguments apply for 0/45 just by swapping the light bulb and the detector. Also, the drawings show a design with underfill, which is to say the area illuminated is smaller than the area that is measured. This is a requirement in ISO 13655 to avoid problems with light scattered in the substrate. Note that overfill is equivalent to underfill—the illumination area could also be larger than the area of detection.

Additional Note: Failure of an instrument on this sample is not an indictment of that instrument. The sample is outside of the realm of what a 45/0 instrument is designed to measure. It is as if the instrument were held several millimeters above the sample, rather than in contact.

Instrument 3

Instrument 3 is slightly worse than the first two instruments on the X-Rite black trap and the Ceram black reference. While this is likely to be statistically significant and is likely to have a physical cause, it is insignificant from a practical standpoint.

From looking at the Interstyle tile and the first surface mirror, it would appear that the aperture size and specular rejection are similar to the second instrument.

Instrument 4

The first three columns (measurements of the two light traps and the Ceram black reference) show that there is a borderline issue with black level calibration for the fourth instrument. These three numbers indicate that a 2.00D patch would likely read between 1.985D and 1.989D. This is possibly worth correcting for.

The measurement on the Interstyle tile says that the aperture is of a similar size to the second and third instruments.

The measurement on the first surface mirror is a bit larger than specular rejection of the second and third instruments, but again, this is a severe test. The fact that the measurement on the Ceram black tile is virtually the same as that of the two light traps shows that, for the worst case scenario of measuring ink, the specular rejection of the fourth instrument is not an issue of any concern.

Instrument 5

I was perplexed that the readings for Instrument 5 on the two black traps were different by a factor of three. This concerned me so the measurements on the Ugg black trap were retaken. The second set of numbers is also in the table. The range of black offsets correspond to a density loss on a 2.00D tile of anywhere from 0.027D to 0.066D. My best guess is that the black level of this instrument is drifting.

The Interstyle tile measurement of over 0.5% is indicative of the size of the aperture. It has the largest aperture of the handheld instruments.

The first surface mirror reading of 0.645% is also a bit troublesome. If we take the rule of thumb that a glossy ink will have about 1/15th the specular reflection as a first surface mirror, then we would predict that the error due to incomplete specular rejection would be on the order of 0.043%. This represents a drop in density of nearly 0.02D for a 2.0D patch.

That in itself is perhaps not all that troublesome, but it makes me a bit concerned that there could be additional issues with stray light that will necessarily be difficult to correct for.

White level

Theory

“Pure white” is the next step up from black in terms of complexity. If the spectrum of the white sample is relatively flat spectrally, then wavelength calibration and spectral bandwidth should not affect the measurements. If the white samples have nearly the same level of reflectance, then the linearity of one instrument versus another should also not be a factor.

I chose eight white samples to assess the agreement between the spectrophotometers.

Spectralon – Spectralon is highly reflective and spectrally flat. It is also very matte.

Ceram white BCRA tile – The white BCRA tile is very white, but is also very glossy.

Behr sheet chart – This is a set of six paint samples that are all white and relatively close in color. They have six different levels of gloss. These samples are not likely as bright as the Spectralon or BCRA white tiles, but they are not likely to have a great deal of lateral diffusion. These were all measured with a black backing.

Any disagreement between instruments on the measurements of these samples is likely to be due to one of three causes: white calibration, instrument geometry, or lateral diffusion. The set of paint samples should help diagnose a difference in geometry.

Procedure

Each of the eight samples was measured with each of the five instruments. For each of these forty combinations of sample and instrument, ten measurements were taken in different locations within a ½” by ½” area. This should reduce any variability due to sample non-uniformity or instrument repeatability.

Data scrubbing

I performed a test of the repeatability of the measurements. For each wavelength (of measurements of one sample by one instrument), there are ten measurements. I computed the standard deviation of these. This gave me a collection of 30-some

measures of repeatability. I averaged all these together to get a single number indicative of the variability of that sample/instrument combination.

The results are shown in the table that follows. Note that, for example, Instrument 3 measurements on the Spectralon sample had an average standard deviation of 0.24%, meaning that a single measurement may vary by a few times 0.24% of, for example, 95.00% (i.e. the range could be from 94.52% to 95.48%). Note that the average of ten measurements will have repeatability of one-third of this. Thus, the worst case uncertainty in the reflectance measurements is $\pm 0.55\%$ (Instrument 5 on the satin enamel sample).

	Instrument 1	Instrument 2	Instrument 3	Instrument 4	Instrument 5
Spectralon	0.96%	0.21%	0.24%	0.15%	0.17%
Flat (paint)	0.09%	0.20%	0.16%	0.16%	0.09%
Flat enamel	0.18%	0.12%	0.17%	0.15%	0.12%
Eggshell enamel	0.19%	0.14%	0.11%	0.13%	0.25%
Satin enamel	0.20%	0.67%	0.20%	0.32%	1.65%
Semi-gloss enamel	0.17%	0.21%	0.15%	0.22%	0.24%
Hi-gloss enamel	0.18%	0.33%	0.26%	0.15%	0.23%
White BCRA	0.12%	0.20%	0.17%	0.11%	0.10%

Table 3: Average reflectance of the white samples as measured by all instruments

The two repeatability numbers that are head and shoulders above the others have been highlighted in **red**. The additional one that is merely shoulders above the others is highlighted in **orange**. I looked at the individual measurements that went into the high variations, and found nothing particularly remarkable.

While these measurements are suspicious, this much variation is not alarming. I looked at the effect of the variability on the determination of the L^* value. For the worst case (Instrument 5 on satin enamel) the error in determining L^* is ± 0.23 . For most measurements, the error in determining L^* is well below 0.1.

Analysis

I computed the L^* values for all 40 measurements. This is a reasonable single number that indicates overall whiteness. It also provides a bit of spectral averaging. L^* also suppresses the blue end of the spectrum where the spectra of some samples fall off, where the response of some instruments are lacking, and where the potential for contamination due to fluorescent whitening agents (in the substrate of the paint samples) exists. Finally, L^* can be related to something useful, ΔE . The assumption is that there is nothing interesting going on with a^* and b^* .

The following graph shows all 40 measurements. The samples along the horizontal axis are laid out in order of my perception of their gloss.

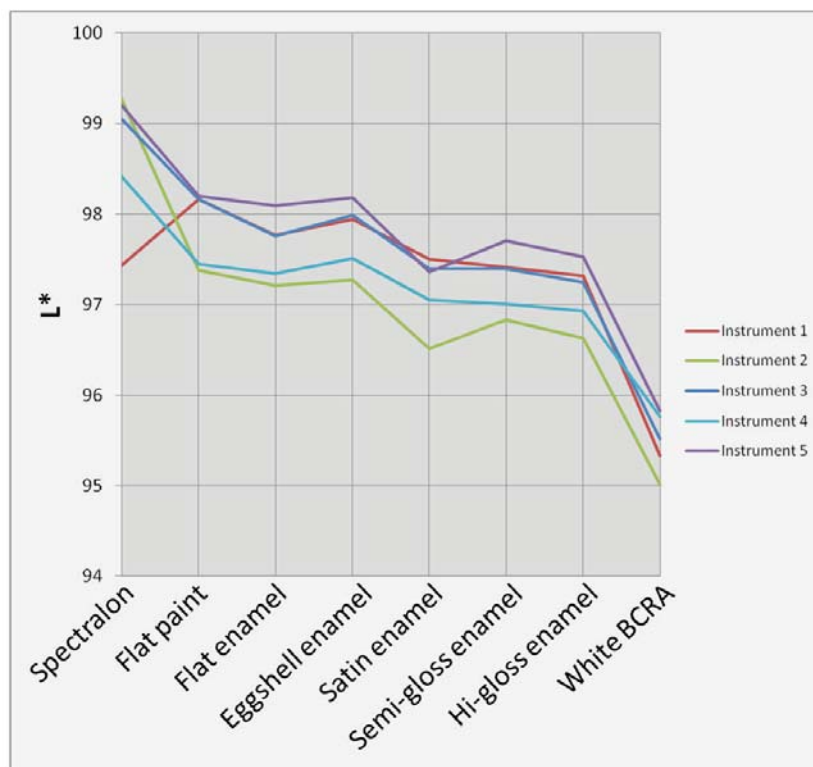


Figure 3: L^* values of 8 samples as measured with 5 spectrophotometers

One of the measurements deemed anomalous because of variability (Instrument 1 on Spectralon) shows up as anomalous in this chart as well. This suggests that this point might be disregarded. The measurement with the largest repeatability (Instrument 5 on satin) doesn't appear to have an average value which is all that anomalous.

This graph is a bit hard to comprehend. There is an overall downward slope from left to right, which merely indicates that the glossier samples are a little darker. This is likely for reasons unrelated to glossiness. The more important thing to look at in this chart is that the various instruments have a spread of around 1 ΔL^* for each of the white samples.

The simplest of the possible explanations is that the white calibration is different between the instruments. This could be the result of the “chain of traceability”. Typically, a spectrophotometer manufacturer will have a white reference tile that has been measured by a standards lab. These official measurements for this tile are then used to calibrate the white point of a “golden instrument” at the manufacturing facility.

Since there is an inevitable drift in the white level for any spectrophotometer, manufacturers provide a white calibration tile that travels along with the instrument. The reference values for this calibration tile are typically measured with the golden instrument. Thus, there is an unbroken chain of traceability back to a national standards lab, but each step in the chain adds uncertainty.

Another possible explanation is that the national standards labs do not agree with each other as well as we might expect. There is very good agreement on the size of a meter, a volt, and a second, but agreement on what constitutes 100% reflectance is elusive. Thus, if one spectrophotometer manufacturer is traceable back to the European standards lab, another to the Canadian, and a third to the US lab, there is an inherent difference in white level.

So, perhaps the differences in the measurements of the white samples are due to differences in white calibration?

Figure 4 addresses that question. The data was first “corrected” to calibrate to the white BCRA tile. I arbitrarily decided that the correct Y value for the BCRA tile was the average of the measurements from the six instruments. All the measurements were then scaled so that the white BCRA tile had this arbitrary measurement, and then converted to L^* values. Next, I determined the deviation from the average for each measurement. Thus, the y axis of the graph is a ΔL^* value.

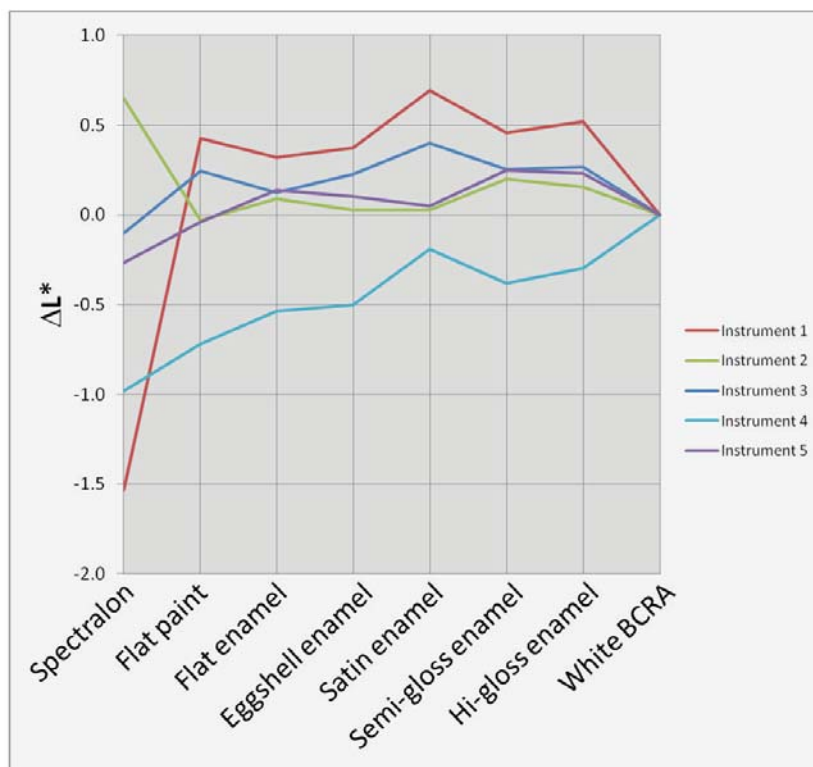


Figure 4: L^* deviations, after calibration to the BCRA tile

If we consider just Instruments 2, 3, and 5 on all white samples except for the Spectralon, the agreement is under $0.5 \Delta L^*$. Is this a problem? A change of $0.5 \Delta L^*$ on a white sample with $L^* = 97$ corresponds to a scaling difference of 1.3%. That same scaling error will cause an error in L^* that gradually gets smaller as the measured sample turns from white to gray and then to black. The ΔL^* at L^* of 75 is 0.42. At L^* of 50, the error is 0.29. At L^* of 10, the error is $0.12 \Delta L^*$.

On the other hand, if we look at the extreme values, there is a $1 \Delta L^*$ difference on all the paint samples. This is unfortunate, since BCRA tiles are often used to calibrate instruments, and the range of gloss in the paint samples is similar to that of ink on paper.

There are numerous possibilities for the large amount of disagreement. Many of the possibilities can be put in the “not likely” pile simply by the selection of samples. Each of the possible sources of disagreement is listed here, with an explanation of whether this particular source is a candidate.

Instrument out of calibration – The spectrophotometers at the low end and at the high end had been in for factory recertification within months of the data collection,

so this is not a likely problem.

Repeatability – In the previous section on data scrubbing, I reasoned that the repeatability of my assessment of the average was “good enough”.

Black level – It is not likely that the differences are due to mis-calibration of black level. The typical disagreement on a sample (after calibration to the BCRA tile) from min to max was about 3% reflectance as compared with a maximum light trap difference of 0.165%.

Rejection of scattered light – It is not likely that the differences are due to rejection of specular reflection. The maximum number from an earlier experiment was 0.645%. This number is still much less than 3%, it was only found on one instrument (all the other instruments were considerably smaller), and this number was on a first surface mirror, which has at least an order of magnitude more specular reflectance than any of the samples.

White level – It seems likely that white level calibration is one source of difference, but there are still significant differences with all instruments calibrated to read identically on the white BCRA tile.

Measurement geometry – The samples were specifically chosen so as to vary in gloss, so this is a likely candidate.

Nonlinearity – It is not likely that the differences are due to nonlinearity, since all the measurements reported were between 88% and 96% reflectance.

Aperture size – There is likely some lateral diffusion in the samples tested, and there is a difference in aperture size between the instruments, so this is a possible source of disagreement.

Wavelength alignment or bandwidth difference – It is unlikely that the differences are due to wavelength alignment or bandwidth, since the samples are all reasonably flat over the Y region of the spectrum.

Fluorescence – It is not likely that the differences are due to fluorescence since it is expected that there are no fluorescent whitening agents in any of the samples. Using L^* should mitigate any issues with fluorescence. Using the eXact, I compared M0 and M2 measurements on all samples. The largest difference over all the samples was $0.03 \Delta L^*$.

In addition, I examined the effect of a violet laser (405 nm) on the samples. If a sample has the typical fluorescence seen in paper, the laser will cause the emission of light in the 420 to 450 nm range. This emission is very noticeable, since the

hue will shift from violet to blue, and the spot will appear much brighter since the human eye is much more responsive at higher wavelengths. While the paper underneath the paint samples was shown to fluoresce, there was no evidence of any fluorescence in the samples themselves.

Based on this analysis, the three most likely candidates for disagreement are 1) white level calibration, 2) measurement geometry, and 3) aperture size. This last possibility will be considered in the next section.

Aperture size

The question of whether differences in aperture size are responsible for disagreement in measurement depends on two things. Obviously, the apertures in the two instruments must be different. But also, the sample itself must be susceptible to differences in aperture size, which is to say, the sample must have an appreciable amount of lateral diffusion.

Images of the lateral diffusion

I used a red laser pointer and a digital camera to give a rough assessment of the extent of the lateral diffusion in the white samples. I took pictures of a laser pointer spot on three of the samples: the BCRA tile, one of the paint samples, and the Spectralon tile. Only one of the paint samples was necessary, since they all looked very similar. The magnification of the camera, the working distance, and the f-stop were not changed in between samples.

I took an image of an aluminum plate to serve as a reference. My assumption is that this plate shows an insignificant amount of lateral diffusion, so that the size of the laser spot in the image is the actual size of the laser beam.

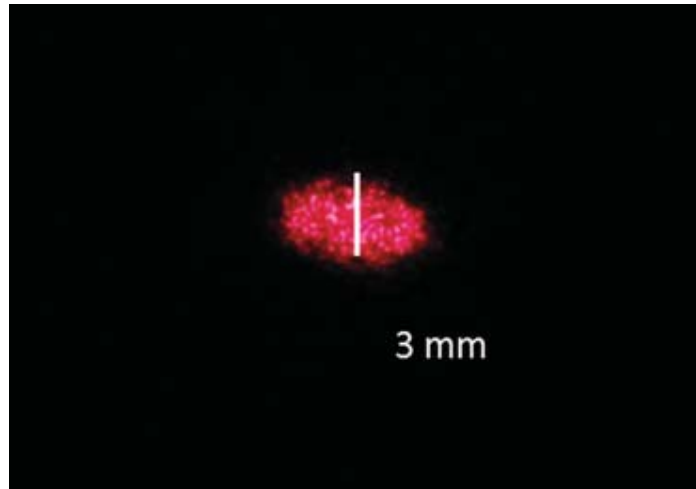


Figure 5: Laser spot on aluminum plate

The laser was hitting the sample at 45° and the camera was mounted at 0° . At first glance, this may explain the ellipticity of the laser spot, but the aspect ratio of the spot is close to 2.0, whereas, the 45° angle would put it at about 1.4. The laser spot is fairly elliptical.

That said, I take the size of the laser beam to be about 3 mm wide.

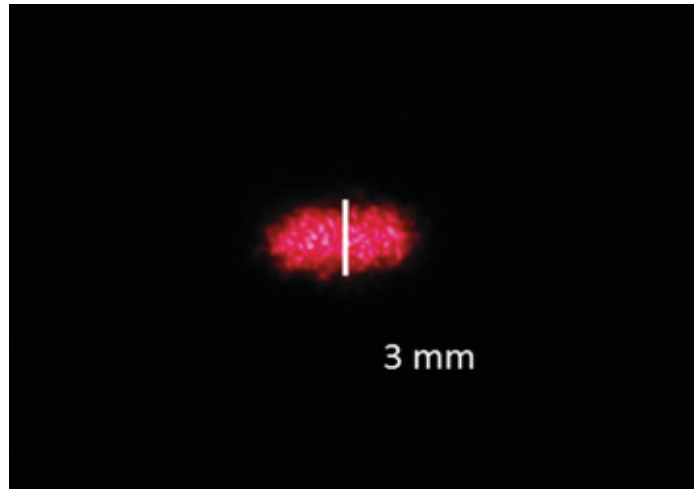


Figure 6: Laser spot on BCRA tile

The BCRA tile (above) shows a laser spot that is not very different from the spot on the aluminum plate. I will assume, then, that the BCRA tile has negligible lateral diffusion, at least on the scale that I am able to measure.

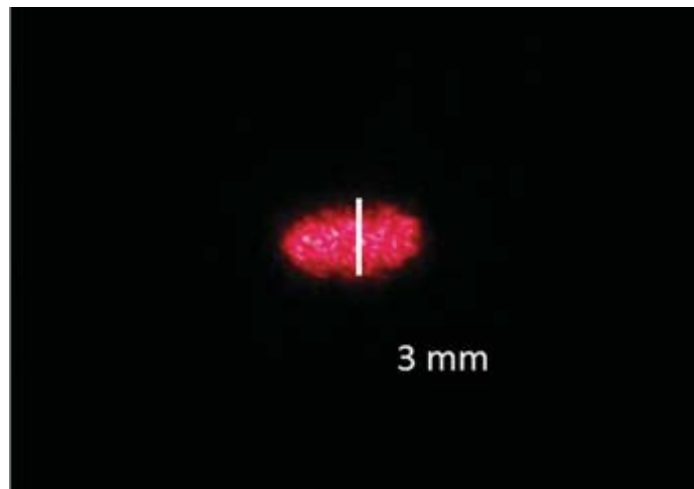


Figure 7: Laser spot on paint sample

The paint sample (above) shows a laser spot that again is not very different from either of the previous spots. I will assume, then, that the paint samples have negligible lateral diffusion as well.

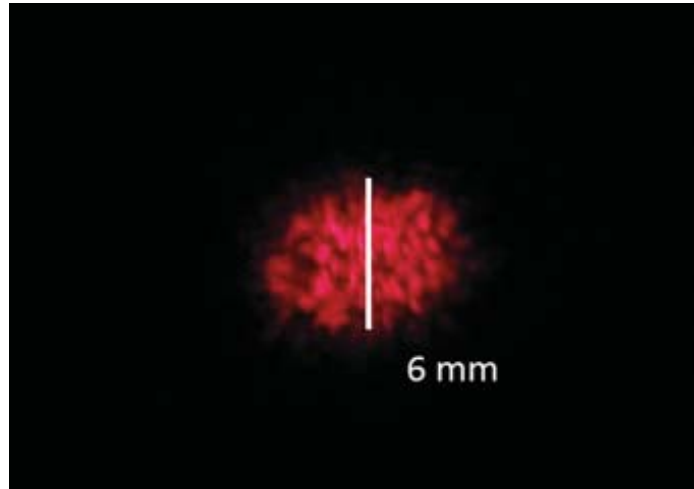


Figure 8: Laser spot on Spectralon

The laser spot on the Spectralon sample is distinctly different, having a height of about twice that of the other white samples. Based on this, it would seem that Spectralon has a large degree of lateral diffusion.

There was a previous discussion about the measurement of Spectralon with Instrument 1. The measurement looked anomalous. Was this real, or was it an experimental error? The image of the laser pointer spot on Spectralon suggests that this may be the explanation of the anomaly.

Looking back to the measurements on the Interstyle tile (Table 2, and Figure 1), something unusual can be seen with regard to Instrument 1. This instrument measured a very tiny reflectance on this tile (0.016%). This is a factor of 15 smaller than all the other instruments. This implies that the aperture of the instrument is much different than the other instruments.

Thus, we can say that the anomalous measurement of the Spectralon sample with Instrument 1 is real, and is a result of the combination of high lateral diffusion of the sample and small aperture in the instrument.

Measurement geometry

The largest unexplained anomaly in Figure 2 is the response of Instrument 4. This instrument reads darker than the rest of the pack. This discrepancy gradually decreases with glossier samples. This particular instrument is not greatly different

from the others in terms of rejection of specular light (see Table 2, first surface mirror reflectance). The dependence of the discrepancy on gloss could be just the luck of the draw, or it could signal a goniophotometric difference between this spectrophotometer and the others. That is, the spectrophotometers differ in the angular distribution of the light hitting the sample, or in the acceptance angle of the detector.

In the words of Sherlock Holmes, “Eliminate all other factors, and the one which remains must be the truth.” Based on the fact that all other explanations that I have to offer are unlikely, the mostly likely explanation of this difference is goniophotometric.

Conclusion

Five spectrophotometers have been compared on measurements of black and white samples which were selected so as to highlight the source of any discrepancies. The findings are as follows:

1. PMZ calibration (setting of absolute black) is not a significant issue. A light trap or a shiny black tile are both acceptable for calibration or for verification of black level.
2. One instrument showed a larger issue with rejection of specular reflection, this could be a small practical issue when measuring very dark samples.
3. The calibration of white level (overall scaling) is likely an issue. Standard techniques for normalizing (standardizing, calibrating, profiling) one instrument to another can correct this.
4. One instrument was different from the others in terms of its aperture. Differences in the apertures of instruments are, in general, not correctable through normalization of one instrument to another. On the other hand, paint samples and presumably samples of ink on paper are not susceptible to this discrepancy.

There are two areas for caution. First, measurement of translucent samples (such as ink on milky plastics or white floodcoat, or inks with translucency) will cause problems if the apertures differ between two instruments. Second, one must use caution in selecting the samples that are used for standardization. One common choice is the set of BCRA tiles. Unfortunately, the yellow and orange tiles are known to have some amount of lateral diffusion, so this is not advised.

5. One instrument shows evidence of differing from the others goniophotometrically. Once again, these differences cannot be corrected for in general, so caution must be employed when calibrating with samples that differ in gloss from the samples one wishes to measure.

This paper has demonstrated that there are significant differences between instruments that go beyond the standard calibration based on black level, white level, nonlinearity, wavelength shift, and bandwidth differences. There is a presumption that calibration can still be performed with these techniques provided the calibration set has similar properties to the samples to be measured, but this has not been investigated.

Bibliography

- Berns, R.S., and Reniff, L.
1997, "An Abridged Technique to Diagnose Spectrophotometric Errors", *Color Research and Application*, 22(1), 51(10)
- Butts, Ken, Brill, Mike, and Ingleson, Alan
2006 conference, "Reflectance in Perspective - Will Instrument Profiling Give Me Better Measurements?", American Association of Textile Chemists and Colorists
http://industrial.datacolor.com/wpcontent/uploads/datacolorproductliterature/Reflectance%20in%20Perspective_40.pdf
- Chung, Sidney Y., Sin, K.M., and Xin, John H.
2002, "Comprehensive comparison between different mathematical models for inter-instrument agreement of reflectance spectrophotometers", *Proc. SPIE* 4421, p. 789
- Nussbaum, Peter, Hardeber, Jon Y., and Albrechtsen. Fritz
2011, "Regression based characterization of color measurement instruments in printing application", *SPIE Color Imaging XVI*
- Rich, Danny
2004, "Graphic technology — Improving the inter-instrument agreement of spectrophotometers", CGATS white paper
- Robertson, A. R.
1986, "Diagnostic Performance Evaluation of Spectrophotometers", presented at *Advances in Standards and Methodology in Spectrophotometry*, Oxford, England
- Seymour, John
2013, "Evaluation of Reference Materials for Standardization of Spectrophotometers", TAGA
<http://johntheguy.com/files/pdf/Evaluation%20of%20Reference%20Materials%20for%20Calibration%20of%20Spectrophotometers,%20Seymour%20TAGA%202013.pdf>

Spooner, David

1991, "Translucent Blurring Errors in Small Area Reflectance Spectrophotometer & Density Measurement", TAGA

Van Aken, Harold et al.

2000 U.S. Patent 6,043,894 (March 28, 2000)

2003 U.S. Patent 6,559,944 (May 6, 2003)

2006 U.S. Patent 7,116,336 (October 3, 2006)

Applications Mentioned:

CyberChrome OnColor profiler

<http://www.cyberchromeusa.com/instrument-profiling/>

ColorMetrix Normalizer

<http://colormetrix.com/blog/normalizing-color-with-measure-parties-and-outside-the-box-rd/>

Color Science Consultancy Mean Plus

<http://www.colorsocieties.net/7209.html>

DataColor Maestro

<http://www.colourtechnology.eu/2008/upload/file/DataColor%20Maestro.pdf>

HunterLab Hitch Standardization

<http://hunterlabdotcom.files.wordpress.com/2012/07/an-1018-using-hitch-standardization-on-a-series-of-color-measuring-instruments.pdf>

X-Rite NetProfiler

http://www.xrite.com/product_overview.aspx?ID=1765

X-Rite SpectroSync

http://www.xrite.com/documents/literature/en/L10-279_SpectroSync_en.pdf